Does Hydrologic Circulation Mask Frictional Heat on Faults after Large Earthquakes?

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Abstract

Knowledge of frictional resistance along faults is important for understanding the mechanics of earthquakes and faulting. The clearest in-situ measure of fault friction potentially comes from temperature measurements in boreholes crossing fault zones within a few years of rupture. To date however, large temperature signals from frictional heating on major faults have not been observed. Unambiguously interpreting the frictional strength of faults from small thermal perturbations observed in borehole temperature profiles requires assessing the impact of other potentially confounding thermal processes. We address several issues associated with quantifying the temperature signal of frictional heat generation. These issues include transient fluid flow associated with the earthquake, thermal disturbance caused by the circulation of fluids during drilling, and heterogeneous thermal physical rock properties. Transient fluid flow is investigated using a two-dimensional coupled fluid flow and heat transport model to evaluate the temperature field following an earthquake. Simulations for a range of realistic permeability, frictional heating, and pore pressure scenarios show that high
permeabilities (>10^{-14} \text{ m}^2) are necessary for significant advection within the several years after an earthquake and suggest that transient fluid flow is unlikely to mask frictional heat anomalies. We illustrate how disturbances from circulating fluids during drilling diffuse quickly, leaving a robust signature of frictional heating. Finally, we discuss the utility of repeated temperature profiles in boreholes for discriminating between different interpretations of thermal perturbations. Our results suggest that temperature anomalies from even low friction should be detectable at depths >1 km one to two years after a large earthquake.

1. Introduction

Frictional resistance along faults is an important parameter controlling earthquake nucleation and propagation. Because friction is central to earthquake mechanics, considerable effort has gone into characterizing fault zone friction both in the laboratory and in-situ [e.g., Scholz, 2002]. Laboratory measurements suggest that the intrinsic low-speed friction coefficient for most rocks is approximately 0.60 - 0.85 [Byerlee, 1978]. This magnitude of friction is hypothesized to generate large thermal anomalies on natural faults with large slip rates and/or large total displacements, assuming hydrostatic pore pressure. Curiously, analysis of surface heat flow data [e.g., Brune et al., 1969; Lachenbruch and Sass, 1980; Wang et al., 1995] and subsurface temperature profiles [Yamano and Goto, 2001; Kano et al., 2006; Tanaka et al., 2006, 2007] that cross fault zones do not show substantial, unequivocal anomalies from frictional heating. These observations prompt two questions: (1) could the frictional resistance be as large as expected from Byerlee’s Law and hydrostatic pore pressure, but the heat signal is masked or dissipated by other processes? (2) If not, what is the in-situ value of frictional resistance during fault slip?

Much effort has been spent recently on the second of these questions [e.g., Brodsky and Kanamori, 2001; Di Toro et al., 2004; Rice, 2006; Ma et al., 2006], but considerably less work has been conducted on the first. Studies of processes that may mask or dissipate the frictional heat signal have focused on steady-state topographically-driven or buoyancy-driven groundwater flow [Williams and Narisimhan, 1989; Saffer et al., 2003; Fulton et al., 2004] and the effects of heterogeneous thermal properties [Tanaka et al.,
One candidate for obscuring a frictionally generated thermal signal that has not been fully explored is transient groundwater flow following an earthquake [e.g., Kano et al., 2006; Scholz et al., 2006].

We first explore the potential effects of transient groundwater flow on the dissipation and redistribution of frictionally generated heat. Because our attention is on frictional heat generation during an earthquake and transient groundwater flow within the few years after an earthquake, we focus our study on the effects of these processes in the near-field where they are most likely discernable. Our evaluation of the potential effects of transient groundwater flow on fault zone temperature anomalies is driven by three specific questions: 1) How big is the expected temperature anomaly from frictional heating as a function of time? 2) What permeability values are required to yield significant advective disturbances? and 3) How does advection affect frictional heat anomalies for different fault zone permeability architectures? Understanding the answers to these questions is important for designing experiments to detect frictional heating, and for unambiguously interpreting thermal data in terms of frictional heat generation and resistance during slip.

In the following sections, we address these questions and discuss the implications of their answers. After reviewing the relationship between earthquake slip, stress and friction, and frictional heat generation (section 2), we present numerical models of coupled fluid flow and heat transport and evaluate the role of transient fluid flow in affecting a frictional heat signal for a range of realistic hydrogeologic and frictional heating scenarios (sections 3 and 4). We then evaluate other processes associated with borehole temperature measurements that may mask or dissipate the frictional heating signal and strategies for overcoming some of these obstacles that might improve our ability to detect and unambiguously interpret frictional heating are presented (section 5). The implications of these results for interpretations of the frictional resistance along faults during earthquake slip from previous borehole experiments are discussed (section 6).

2. Frictional Heat Generation And Thermal Perturbations
The conductive temperature anomaly, $T$, across a fault due to frictional heating can be expressed by the equation for one-dimensional diffusion of a plane source of heat [Carslaw and Jaeger, 1959],

$$T(y,z,t) = \frac{\mu \sigma_n'(z) d}{c \rho} \left( e^{-y^2 / 4 \alpha t} \right) \left( \frac{1}{2 \sqrt{\pi \alpha t}} \right). \quad (1)$$

The first term of Equation 1 describes the frictional heating source strength (in units of °C-m) showing the functional dependence on fault displacement, $d$, the specific heat and density of the surrounding rock, $c$ and $\rho$ respectively, and the frictional resistance (i.e. shear stress) on the fault, defined by the product of the fault zone friction coefficient during slip, $\mu$ and effective normal stress, $\sigma_n'(z)$, which is a function of depth $z$ and pore pressure. Symbols and their units are defined in Table 1. The second term describes the diffusion of heat as a function of distance from the fault plane $(y)$, time $(t)$, and the thermal diffusivity $(\alpha)$. For an optimally oriented thrust fault the effective normal stress can be described by [Lachenbruch and McGarr, 1990],

$$\sigma_n'(z) = \frac{(1 - \lambda) \sigma_v}{(1 + \mu_c^2) - \mu_c \sqrt{1 + \mu_c^2}}, \quad (2)$$

where $\mu_c$ is the intrinsic friction coefficient of the surrounding rock and $\lambda$ is the pore pressure ratio defined as $P/\sigma_v$, where $P$ is the pore pressure and $\sigma_v$ is the total overburden stress, defined as $\rho g z$, where $g$ is gravity. Evaluating the frictional heat generation for a thrust fault allows us to compare our results with measurements acquired across the Chelungpu fault after a large thrust earthquake [Kano et al., 2006; Tanaka et al., 2006].

Equations 1 and 2 show that in general, the temperature perturbation scales with the product of $\mu$ and $\sigma_n'$ and attenuates with the product of thermal diffusivity and time. These relationships are shown graphically in Figure 1 for a fault at depths of 1 and 2 km and with a coefficient of friction of 0.1 and 0.6. The area under the curves is proportional to the total frictional heat. If $\lambda$ does not vary significantly with depth, the effective
normal stress increases with depth leading to an increased frictional heat signal. The low rates of frictional heating interpreted from existing thermal data could result from a low friction coefficient on the fault, elevated pore pressure, or a combination of the two [e.g., Lachenbruch and Sass, 1990; Rice, 1992; Fulton and Saffer, 2009]. In addition, elevated pore pressure that weakens the fault could be sustained throughout the seismic cycle or transiently generated during rapid slip [e.g., Rice, 1992; Segall and Rice, 2006; Andrews, 2002]. For simplicity, we represent different fault strength (frictional resistance) scenarios in terms of the equivalent friction coefficient assuming hydrostatic pore pressure, defined as the product of the friction coefficient during slip and effective normal stress divided by effective normal stress assuming hydrostatic pore pressure.


We evaluate the role of transient groundwater flow on fault zone temperature following an earthquake using 2-D finite element models to solve the coupled equations of transient fluid flow and heat transport with the algorithm SUTRA [Voss, 1984]. The model domain is based on the geologic cross-section of Yue et al. [2005] for the Chelungpu fault in the area near the Taiwan Chelungpu-fault Drilling Project (TCDP) boreholes, in which temperature was measured across the Chelungpu fault after the 1999 Mw 7.6 Chi-Chi earthquake [Kano et al., 2006; Tanaka et al., 2007]. Boundary conditions and material properties are based on thermal data from the same area [Tanaka et al., 2007]. The model domain is 10 km wide and 5 km deep (Figure 1 inset) and contains a thrust fault with a surface trace 1 km from the left side of the model that dips to the right at 30 degrees. The fault extends to a depth of 4 km. The model consists of 31,896 quadrilateral elements which are each 1 m thick and cover areas ~3 x 10⁰ to ~2.5 x 10⁵ m², with the highest resolution near the fault.

We set the surface boundary condition at atmospheric pressure and mean annual surface temperature, 101325 Pa and 21.6 °C. We prescribe a heat flux of 37 mW/m² across the basal boundary and assign a constant radioactive heat production of 1.6 μW/m³, resulting in a steady state surface heat flow of ~45 mW/m² [Tanaka et al., 2007]. There are no internal fluid sources and the side boundaries are closed to both fluid flow and heat transfer. Parameter values for material properties are given in Table 2.
We initialize temperatures for our transient simulations by running steady state simulations with no frictional heating on the fault. In our transient simulations, frictional heat generation on the fault is prescribed for a slip duration of 2 seconds. The assumed slip velocity of 2.5 m/s results in a total slip of 5 m, a reasonable value for $M_w \sim 7$ earthquakes and a value representative of estimates for the $M_w 7.6$ Chi-Chi earthquake [Ma et al., 2001]. The average rate of frictional heat generation per unit area, $H$, is given by,

$$H(z) = \mu \sigma_n'(z) v,$$

where $v$ is the slip velocity, and $\sigma_n'(z)$ is the effective normal stress defined by Equation 2. We consider two cases for frictional heat generation: a “weak fault” scenario in which frictional resistance on the fault increases by 2.4 MPa per km depth, consistent with hydrostatic pore pressure and a fault zone friction coefficient during slip of $\mu = 0.1$, and a “strong fault” scenario in which frictional resistance increases by 14.2 MPa per km, as expected for hydrostatic pore pressure and a friction coefficient of 0.6. These cases correspond to frictional heat generation on the fault that increases from zero at the surface by 5.9 W/m$^2$ or 35.6 W/m$^2$ per kilometer depth, respectively. A value of $\mu = 0.1$ for our weak fault scenario corresponds to the values of friction assuming hydrostatic pore pressure interpreted from temperature observations across the Chelungpu fault [Tanaka et al., 2006; Kano et al., 2006], from stress orientations inferred from earthquake focal mechanisms near the San Andreas fault [e.g., Townend and Zoback, 2004], and observed in high speed friction tests [e.g., Tanikawa and Shimamoto, 2009].

Model simulations start with two one-second time steps corresponding to the period of frictional heating. In order to evaluate the potential effects of advection by fluid flow, we assume pore pressure increases from hydrostatic to lithostatic within the fault zone and to 80% of lithostatic in the country rock immediately after the earthquake. By incorporating a large pore fluid pressure gradient to drive fluid flow, these simulations produce the largest likely advective disturbance to the thermal field for each permeability scenario we evaluate. The simulations are then allowed to continue and the models are
evaluated 32 s after faulting and then at time steps that progressively increase in duration by 2 orders of magnitude until they reach a period of roughly 1 yr, after which the subsequent time steps are held constant at 1 yr durations. Models are evaluated for a range of realistic permeability values and fault zone architectures, described in section 4.

4. Modeling Results: Thermal Effects of Transient Fluid Flow

We first consider a scenario in which permeability is uniform for the fault zone and country rock. We evaluate heat transport for permeabilities ranging from $10^{-14}$ to $10^{-19}$ m$^2$. High permeabilities ($\geq 10^{-14}$ m$^2$) are needed for transient groundwater flow to significantly affect temperatures across the fault within a few years after an earthquake. Although a permeability of $10^{-14}$ m$^2$ is somewhat high for country rock, it is within the range of reported values for fault breccia [e.g., Mizoguchi et al., 2008]. Over time fluids move upward spreading the anomaly. This effect decreases the peak temperature anomaly, displaces the anomaly upward, and increases its asymmetry relative to the conductive case (Figure 2a). Because the maximum temperature anomaly provides a reasonable measure of the ability to resolve a frictional heat signal, it is useful to consider its attenuation as a function of time relative to the conductive case (Figure 3). For a uniform permeability of $10^{-14}$ m$^2$, advection diminishes the frictional heat anomaly by ~30% after one year, and ~50% after six years relative to the conductive case (Figure 3b).

In a second set of simulations, we evaluate the effect of a fault zone conduit consisting of a 10 m-wide high permeability zone within lower permeability country rock. We consider fault zone permeabilities from $10^{-14}$ to $10^{-18}$ m$^2$ with the country rock permeability held at $10^{-19}$ m$^2$. We find that fault zone permeabilities of $\sim 10^{-14}$ m$^2$ are required for fluid flow to cause significant deviation from the conductive solution. In this scenario, the frictional heat signal at the fault is increased slightly as fluids advect heat from depth along the fault zone, driven both by the elevated pore pressure assigned in the fault zone and by thermal buoyancy (Figure 2b). The potential for increasing temperatures due to transient hydrological circulation has not been described in previous work, and is novel to this work. This effect is less than 0.1 °C; in our low friction case the disturbance constitutes a significant fraction of the total anomaly, roughly 6% above
the conductive solution one year after the earthquake and ~ 40% after 6 years for our highest permeability scenario (Figure 3b). Increasing the width of the fault conduit up to 200 meters increases the advective temperature anomaly, but for fault zone thicknesses beyond 200 m the effect becomes similar to the homogenous high permeability scenario described above.

In a third set of model simulations, we evaluate the effects of a low permeability fault zone \((10^{-19} \text{ m}^2)\) within high permeability country rock, as might be expected for a fine-grained or clay-rich fault core. As in the cases described above, significant advective disturbances appear within the first two years after an earthquake only if country rock permeability is \(~10^{-14} \text{ m}^2\) or greater (Figure 2c). Temperatures within and near the fault zone behave diffusively, but upward fluid flow increases the country rock temperature and the background geotherm. Because the temperature anomalies are relative to the background geotherm the net effect is to reduce the peak temperature anomaly (Figure 3b). For our low friction scenario, the anomaly is ~14% less than the conductive solution one year after the earthquake and ~67% less than the conductive solution after six years.

We designed the preceding scenarios to optimize the potential for fluid flow by initializing large fluid pressures in both the fault zone and country rock. In a final set of models, we modify this initial condition to evaluate the effects of lateral fluid flow driven away from a highly pressurized fault zone, as might be expected from transient pressurization during slip [e.g., Andrews, 2002; Hirose and Bystricky, 2007] or from interseismic localization of pressure within the fault [e.g., Rice, 1992; Sleep and Blanpied, 1992; Fulton and Saffer, 2009b]. In these simulations, pore pressures within the fault zone and country rock are lithostatic and hydrostatic, respectively. These results (not shown) indicate that temperatures are not significantly affected by fluid flow away from the fault zone, but may be affected by up-dip fluid flow within a high permeability fault conduit similar to the results described above.

Our model results suggest that a frictional temperature anomaly is detectable at reasonable depths (~2 km) and times (up to a few years) after an earthquake, even in the presence of fluid flow resulting from large transient pore pressures and high permeabilities (Figure 3a). In all scenarios, permeabilities less than \(10^{-14} \text{ m}^2\) yielded results that were essentially identical to those for conductive heat transfer over the time
scale of a few years after an earthquake. These results differ from the case of
topographically-driven groundwater flow, which exhibits a smaller permeability
threshold for advection ($k > \sim 10^{-16} \text{ m}^2$) largely due to the fact that fluid flow is sustained
for much longer periods of time and most previous analyses assume a steady-state
condition [e.g., Smith and Chapman, 1983; Williams et al., 1989; Saffer et al., 2003;
Fulton et al., 2004]. We also find that advective disturbances to frictional heat
anomalies at $\sim 1 - 2 \text{ km depth}$ are generally small immediately after an earthquake, but
their relative significance increases with time (Figure 3b).

5. Borehole Temperature Measurements to Detect Frictional Heating

Temperature profiles measured in boreholes intersecting fault zones shortly after
large earthquakes provide the most direct opportunity for quantifying frictional heat.
However, designing a borehole to detect a frictional heating anomaly with temperature
profiles introduces its own set of considerations. The borehole must be drilled deep
enough and fast enough so that the thermal perturbation can be detected, and once drilled
the thermal environment of the borehole must be well characterized, because temperature
anomalies are detected on the basis of departures from background thermal conditions.
In the remainder of this study we explore other candidate processes that may mask or
dissipate the frictional heating anomaly and discuss strategies for overcoming these
obstacles. These processes include: the thermal disturbance of drilling, variations in
thermal physical rock properties such as thermal conductivity or thermal diffusivity [e.g.,
Tanaka et al., 2007], and environmental noise within the borehole such as convection.

5.1 The Thermal Disturbance From Drilling Fluids

During drilling, fluids are circulated through the borehole to dissipate the mechanical
heat of drilling to stabilize the borehole wall and to transport rock cuttings out of the
hole. These fluids rapidly absorb the mechanical heat of drilling but impart a thermal
disturbance to the borehole wall. The fluids enter the borehole at approximately the
surface temperature and rapidly travel down inside the drill pipe and then back to the
surface through the borehole annulus. At the bottom of deep boreholes, drilling fluids are
well below the ambient temperature absorbing heat, and in the upper part of the borehole
returning fluids are above ambient temperatures releasing heat (e.g., Figure 4). During the borehole circulation period the disturbance behaves as a line source. The source strength depends on many factors, but at each depth the disturbance is primarily a function of the temperature difference between the circulating fluid and borehole wall, and the length of time fluids are in contact with the borehole wall [Lachenbruch and Brewer, 1959]. As a result, the bottom of a borehole generally re-equilibrates more quickly than the top, because it is exposed to drilling fluids for a relatively short time. A rule of thumb suggests that following the cessation of circulation it takes approximately 4 times the duration a borehole section is exposed to circulating fluids to re-equilibrate. Borehole temperature profiles from previous fault zone drilling efforts are reported to have equilibrated to within 0.01 °C within approximately six months after the cessation of circulation [e.g., Williams et al., 2004; Kano et al., 2006]. However, the non-linear influence of a drilling disturbance on the detection of a frictional heat anomaly as a function of time has not been previously evaluated.

We model the effect of borehole circulation to assess its impact on the thermal field using a cylindrical model of conductive heat transfer. The primary assumption of this modeling is that heat transfer from the borehole wall to the surrounding country rock is conductive. This assumption allows us to linearly combine the frictional heating signal with the borehole drilling disturbance and is justified because in most cases, borehole mud weight is balanced with formation pore pressure by design, specifically to limit circulation losses or fluid entry from the formation into the hole [e.g., Zoback, 2007]. We do not consider scenarios including the effects of serious drilling problems where large amounts of drilling fluids flood the formation. We prescribe a borehole disturbance similar to that observed within the 2.2 km San Andreas Fault Observatory at Depth (SAFOD) pilot hole [Williams et al., 2004] (Figure 4). Note that a common feature with drilling disturbances, as in this example and temperature profiles from the Cajon Pass scientific research drill hole [Sass et al., 1992], is an inflection point in the borehole temperature disturbance between the lower part of the hole where heat is extracted and the top part of the hole where heat is deposited. In this case, the inflection point is at ~1200 m depth. To simplify the modeling we only model the lower portion of the
borehole and surrounding country rock because this interval contains the fault zone and the temperature response in the upper portion is not important for this analysis.

We validate our approach to modeling the thermal disturbance of drilling by simulating the response to the drilling disturbance observed at the SAFOD pilot hole. In these simulations frictional heating is not included. The model does, however, include thermal properties and a background geotherm based on values appropriate for the SAFOD pilot hole. At 2 km depth, the depth of interest for our analysis, we simulate the drilling disturbance for 4 days similar to that experienced. Temperature profiles are available after the cessation of circulation and then 3 weeks and 1 year later [Williams et al., 2004]. Comparison of the simulated temperature recovery at 2 km depth is similar to that observed (not shown), suggesting that the disturbance and recovery are dominated by conduction and that our modeling approach, described below, is reasonable for evaluating the effects of drilling disturbance on the frictional heat anomaly.

For our combined conductive models of the frictional heating signal and the drilling disturbance, the borehole and model domain have a radius of 0.1 m and 1 km, respectively. We assume a horizontal fault zone at 2 km depth and place the top and bottom of the model at depths of 1200 and 2200 m, respectively. The lower boundary is assigned a heat flux of 45 mW/m², consistent with our transient fluid flow models. The upper boundary corresponds to the inflection point noted above, and prescribed with a constant temperature of 43.2° C. This temperature is based on the background geotherm and thermal properties consistent with those in our fluid flow models, including a thermal conductivity of 2.18 Wm⁻¹K⁻¹ and thermal diffusivity is 1x10⁻⁶ m s⁻².

We use the finite difference code SHEMAT to solve for cylindrical-symmetric transient heat conduction [Clauser, 2003]. Model simulations are initialized with a temperature field following the background geothermal gradient superimposed with a temperature anomaly expected from frictional heating defined by Equations 1 and 2 for our low friction case at 2 km depth, one year after an earthquake with 5 m of slip (Figure 5A, red line). Thus, we are assuming the fault zone is intersected one year after the earthquake. The drilling disturbance from fluid circulation is simulated by prescribing temperatures within the borehole between the inflection point and the bottom of the borehole. The prescribed temperatures increase with a constant gradient from the
inflection point at 1200 m to 15 °C cooler than background conditions at the base of the borehole at 2200 m (Figure 5A, blue line). These values are consistent with observations within the SAFOD pilot hole (Figure 4). Frictional heat is not included in the line source initial condition. These prescribed temperatures are held constant for 4 weeks of simulation time allowing the cooling effects of fluid circulation to propagate into the surrounding rock. In our simulations four weeks represents the time during which the fault zone is exposed to borehole circulation; the total time to drill the borehole following the earthquake is one year and four weeks. Four weeks likely represents the maximum time it would take to drill from 2 to 2.2 km. For the SAFOD pilot hole, this took ~4 days [SAFOD Pilot Hole daily reports: http://www.icdponline.org/contenido/icdp/front_content.php?idart=1036]. Because temperatures representing the drilling disturbance are held constant rather than growing to this value over the four-week drilling period, the source strength, and thus simulated drilling disturbance, should both be viewed as maxima. After four weeks the prescribed temperatures within the borehole are allowed to relax and the model relaxes in time. We use a time step of 1 month. During this time, both the frictional heat anomaly and the drilling disturbance diffuse through the model domain. For comparison, we also ran simulations corresponding to a fault zone exposure to the drilling disturbance of 2 weeks. One month after the cessation of drilling, corresponding to 1 and 2 times the amount of time the fault zone was exposed to drilling, the drilling disturbance has relaxed significantly, and after 4 times the duration of fault zone exposure (corresponding to 4 and 2 months, respectively) the drilling disturbance has largely dissipated and the frictional heat signal is similar to that expected without any disturbance (Figure 5). The rapid attenuation of the drilling disturbance is consistent with temperature observations at the SAFOD pilot hole (Figure 4) and theoretical considerations [Lachenbruch and Brewer, 1959; Williams et al., 2004]. Even at just one month after drilling, the frictional heat signal in the borehole is apparent (Figure 5B), and the observed frictional heat anomaly reflects 91% of the true anomaly across the fault (Figure 5C) for simulations with four weeks of fault zone exposure to borehole circulation and 94% for two weeks of exposure (Figure 5B-C). This signal recovery increases to 99% at 7 months and 99.5% at one year for the simulations with four weeks of disturbance and reaches 99% at five
These results suggest that the drilling disturbance does not adversely impact the resolution of a frictional heat signal given sufficient relaxation time.

5.2 Thermal Physical Rock Properties

For conductive heat transfer the thermal gradient is inversely proportional to the thermal conductivity, and therefore variations in thermal conductivity have the potential to cause perturbations in the thermal gradient that might be misinterpreted as a frictional heating signal. Thermal conductivity varies with density, porosity, grain size, degree of cementation, and mineral composition [e.g., Brigaud and Vasseur, 1989; Hartmann et al., 2005]. Low values of thermal conductivity associated with fault gouge or highly fractured rock may locally perturb temperatures, and could be misinterpreted as a frictional heat signal [e.g., Tanaka et al., 2007]. Additionally, thermal diffusivity, the ratio of the thermal conductivity to heat capacity, governs the transient response of the system to a heat source. Documenting these rock properties is particularly important within a fault zone itself, where thermal physical rock properties may vary due to the brecciation of country rock, potential hydrothermal alteration of minerals, and potential anisotropy effects due to large strain.

Determination of thermal properties from core samples or rock chips can be used in conjunction with geophysical logs to help characterize the effects of heterogeneity in thermal properties [e.g., Tanaka et al., 2007]. Thermal conductivity scales with thermal diffusivity and is readily measured in the lab on either hand samples or rock chips to an accuracy of ~5% [Sass et al., 1971]. Other perturbations to the background thermal field, such as radiogenic heat production, topography, uplift and erosion or subsidence and burial, produce low wavenumber variations that are not likely to be mistaken for the effects of frictional heating.

5.3 Borehole Convection

In addition to potentially high wave number thermal disturbances due to heterogeneous rock properties, convection cells within boreholes can develop and generate high wavenumber disturbances adding noise to the temperature measurements.
Casing the borehole, plugging the bottom and filling the casing with a fluid to suppress convective heat transfer, however, can stabilize the borehole environment. The most straightforward way to suppress convection is with a high viscosity fluid in a small diameter borehole [Hales, 1937; Krige, 1939; Misener and Beck, 1960]. Variations in borehole diameter outside of the casing may also contribute to convective noise, because convection of fluids between the annulus and country rock may also generate thermal perturbations that distort frictional heating anomalies. Thoughtful borehole design that minimizes annular space between the borehole and the country rock, and the use of designated sampling tubes separated from the surrounding borehole casing by baffles can help reduce convection and its effect on thermal measurements.

5.4 Borehole Temperature Measurements and Repeated Temperature Profiles

Specific logging conditions are needed in order to quantify the size and shape of the temperature anomaly that may result from frictional heating. High precision thermistors have the ability to measure temperatures to a few mK or less [e.g., Beck and Balling, 1988; Clow, 2008] and in general do not limit the signal to noise ratio. However, taking advantage of high precision thermistors requires logging procedures that differ from other open-hole logs in several respects. First, although most borehole logs are collected from the bottom of the borehole upward, precision temperature profiles must be measured on the way down so that the logging tool does not disturb the measuring environment. Second, most logging tools are moved at a constant rate during logging. In contrast, measuring temperatures at a constant rate requires precisely deconvolving the instrument response from the signal. Third, if temperatures are being recorded at the surface, eliminating slip ring noise may also require additional filtering [e.g., Saltus and Clow, 1994]. An alternative approach is to stop the instrument at specific depth intervals, typically 1 m or less, for ~ 60 s or so to allow the thermistor to approach equilibrium (i.e., a “stop-go” technique). This measurement time is typically several times the time constant for most temperature probes, which allows for more accurate extrapolation to true formation temperature [Harris and Chapman, 2007]. Finally, precision temperature profiles need to be measured in a stable borehole environment and thus it is necessary to allow for temperatures to re-stabilize after drilling and other logging procedures. These
considerations often necessitate dedicated logging trips, but can provide high precision data that effectively characterizes the subsurface temperature field.

Repeated temperature profiles on a monthly to annual time scale provide a number of tools for understanding and analyzing the thermal regime that are unavailable with a single temperature profile. Many background disturbances within the borehole can be removed and the effects of transient groundwater flow or frictional heat generation may be characterized with the use of repeated temperature profiles. This technique is especially valuable in distinguishing the effects of temperature perturbations due to heterogeneous rock properties that are steady state from transient thermal perturbations [e.g., Chapman and Harris, 1993; Yamano and Goto, 2001]. Steady-state thermal disturbances that might be mistaken for a frictional heat anomaly or that disturb frictional heat anomalies can be investigated and removed by differencing repeated profiles [Chapman and Harris, 1993; Davis et al., in review]. Additionally, borehole temperature profiles measured at earlier times can be diffused forward in time and compared with later profiles [Carslaw and Jaeger, 1959]. This technique provides a way of estimating thermal diffusivity, and evaluating whether perturbations are constant in time or changing at a rate inconsistent with thermal diffusion. These determinations can be used to support interpretation of heterogeneities in rock properties (constant in time), fluid flow (likely changing inconsistent with thermal diffusion) or frictional heat (diffusing with time).

We illustrate the utility of repeated temperature profiles for aiding interpretations of diffusive and advective heat transfer. Here we use our simulation of the combined effects of frictional heat generation and fluid flow computed one year (profile 1) and two years (profile 2) after the earthquake. For this discussion we assume that drilling disturbances have attenuated and that thermal physical rock properties are constant with depth. We diffuse profile 1 one year forward in time assuming purely diffusive heat transfer and subtract this forward continued profile from profile 2. The difference between these two profiles reflects the influence of fluid flow between years 1 and 2. This difference is the cooling rate relative to diffusion for the period between profiles. For each fault zone architecture, the difference between the forward projection of profile 1 and profile 2, reveal distinctly different cooling rate patterns due to the effects of fluid flow (Figure 6). The uniform permeability scenario cools more quickly below the fault zone and more
slowly immediately above the fault zone than predicted by diffusion alone. This result is consistent with fluids moving upward and spreading the anomaly as discussed in section 4. In contrast, the fault barrier architecture scenario cools more slowly than conduction both above and below the fault. In the fault conduit scenarios, fluid flow along the fault plane increases fault zone temperatures and the frictional heat anomaly dissipates more slowly than predicted. Additionally in this scenario the peak temperature anomaly is displaced upward. These results show that repeated temperature profiles not only help discriminate between diffusive and advective heat transfer, but also provide insight into the hydrogeology and may allow precise estimates of frictional heating to be made in the presence of advection. Multiple sets of repeated profiles yield greater insight into the nature of heat transfer within the fault zone and offer the potential of additionally identifying vertical variations in thermal physical rock properties. We conclude that it would be beneficial to collect temperature profiles on a regular basis as long as a signal exists.

6. Comparison with data

Attempts to estimate the frictional heat generation of large earthquakes (1995 $M_w$ 6.9 Kobe, Japan; 1999 $M_w$ 7.6 Chi-Chi, Taiwan) with temperature profiles have previously been carried out [Yamano and Goto, 2001; Kano et al., 2006; Tanaka et al., 2006, 2007], and drilling across the Wenchuan Fault in response to the 2008 $M_w$ 7.9 Sichuan Earthquake in China is currently underway. These fault zone drilling experiments provide a wealth of important information regarding earthquake processes [e.g., Yamano and Goto, 2001; Tanaka et al., 2001, 2006; Ma et al., 2006; Kano et al., 2006].

Temperatures at Chelungpu were measured 15 months after the 1999 Chi-Chi earthquake in Taiwan ($M_w = 7.6$) in a shallow borehole that intersected the fault at ~300 m depth and then 6 years after the earthquake in a deeper borehole crossing the fault at 1111 m depth [Kano et al., 2006; Tanaka et al., 2006]. Temperatures were measured three weeks and seven months after circulation stopped in the shallow and deep borehole, respectively. Continuous temperature measurements made at a fixed depth of about 1 km in the more extensively characterized deep hole indicate that the drilling disturbance had re-equilibrated.
Temperature measurements at Chelungpu document a small anomaly of \( \sim 0.12 \, ^{\circ}\text{C} \) at \( \sim 300 \, \text{m} \) depth in the shallow hole 15 months after the earthquake, and an anomaly of 0.06 \( ^{\circ}\text{C} \) at 1111 m depth six years after the earthquake. These small anomalies are interpreted to reflect low frictional resistance during slip (friction coefficient of \( \sim 0.1 \) assuming hydrostatic pore pressure), but ambiguity concerning whether the anomaly is affected by transient fluid flow, or heterogeneous thermal properties remains [Kano et al., 2006; Tanaka et al., 2006, 2007]. Our transient fluid flow models illustrate that peak temperature anomaly values 6 years after an earthquake are scaled by a factor of \( \sim 0.4 \) – 1.4, depending on the exact permeability architecture. At the depth of the deep temperature measurements (1111 m), the fault is interpreted to have a \( \sim 1 \, \text{m} \) thick damage zone with permeabilities of \( 10^{-16} \, \text{m}^2 \) or less within lower permeability country rock [Doan et al., 2006]. Our models suggest that temperatures would not be affected by advection for these permeabilities. With a wider damage zone acting as a permeable conduit (10 m), our results suggest that the temperature anomaly would be increased by as much as \( \sim 0.09 \, ^{\circ}\text{C} \) six years after an earthquake in a scenario with fault zone permeability two orders of magnitude greater than determined for the Chelungpu fault at depth. This increase in temperature corresponds to an anomaly \( \sim 40\% \) above the conductive solution (Figure 3b) and would result in slight overestimates of the frictional resistance during slip rather than an underestimate of frictional resistance. The thermal anomaly across the Chelungpu fault has been variously interpreted in terms of frictional heating [Kano et al., 2006] or heterogenous rock properties [Tanaka et al., 2007], although the latter interpretation has been questioned [Kano et al. 2007]. Unfortunately deteriorating hole conditions prevented the ability to repeat temperature profiles. However, in either case, our results suggest that the inferences of low friction during slip based on either a small thermal perturbation or lack of a thermal perturbation are robust.

Temperature measurements were also made within a borehole that intersected the Nojima fault at 624 m depth 2.5 years after the 1995 \( M_w \) 6.9 Kobe, Japan earthquake [Yamano and Goto, 2001]. However, since the primary purpose of these measurements was to measure background heat flux and monitor groundwater flow, fiber optic-based Distributed Temperature Sensing was used for the measurements, which provided high spatial and temporal resolution, but was unable to discriminate temperature anomalies <
0.3 °C and did not reveal a frictional heat signal. These data are also consistent with inferences of low friction during slip.

7. Discussion

There has been a growing interest in drilling across fault zones after large earthquakes. Rapid response boreholes can allow the direct observation of temperature anomalies generated by frictional heating and characterization of other in situ properties relevant to understanding faulting processes [e.g., Tanaka et al., 2001; Ma et al., 2006; Brodsky et al., 2009]. The combination of these observations can potentially shed light on reasons the friction coefficient during slip is or appears to be so low. Future drilling projects will yield even greater insight into variations of friction and its dependency on slip, geometry and fault history if they are designed to be sensitive to the hydrogeological constraints and low values of friction.

We estimate that a conservative limit for the unambiguous detection and interpretation of a frictional heat anomaly is ~0.2 °C. This magnitude is well above the detectable limits of borehole temperature measurements and is likely distinguishable from the effects of borehole convection and subsurface heterogeneity in thermal physical properties. Figure 7 illustrates the tradeoffs between drilling depth and time for both of our high friction and low friction cases. The minimum depth along the fault where a temperature anomaly of at least 0.2 °C, as a function of time after a thrust earthquake with 5 m of slip, is shown. Two years after an earthquake, a borehole would need to intersect the fault at 1.24 km depth for a weak fault with $\mu = 0.1$, whereas after 6 years, the minimum depth would need to be 2.14 km. These estimates are based on conductive heat transfer alone. Our fluid flow model results suggest conductive heat transfer is a reasonable approximation for the first few years after an earthquake, even for the most advectively disturbed cases we explored (Figure 3). Superimposed on Figure 7 are the depth extent and timing of previous and ongoing rapid response fault zone drilling experiments. Although the parameters for these particular earthquakes may be different than modeled here, our results suggest that, in general, boreholes less than ~1 km deep may not be deep enough to capture a substantially large thermal anomaly from a fault with very low frictional resistance during slip. The tradeoffs between borehole depth and
time emphasize that drilling costs can be decreased if drilling can be mobilized very quickly because the necessary depth to observe a substantial frictional heat anomaly can be reduced.

We addressed three specific questions regarding the effects of transient fluid flow on the frictional heat signal from an earthquake: 1) How big is the expected fault zone temperature anomaly as a function of time? 2) Under what conditions might transient groundwater flow disturb the frictional heat signal? 3) How does advection affect the frictional heat signal for different fault zone permeability architectures? Our modeling suggests that a frictional heat anomaly of at least 0.2 °C, associated with a thrust earthquake having an effective coefficient of friction of 0.1 and ~5 m of slip or greater, is resolvable for approximately 4 years or more in a 2 km borehole. In addition, we find that the effects of transient groundwater flow on the frictional heat signature after an earthquake are likely only significant when permeabilities have high values > ~10^{-14} m². Our results also illustrate that, when the fault zone acts as a permeable conduit within lower permeability country rock, as at the Chelungpu Fault, the effects of transient groundwater flow would, at most, increase the fault zone temperature anomaly rather than masking it. We also find that the thermal disturbances of fluid circulation during drilling do not present insurmountable problems to capturing a frictional heating signal. Taken together, these results suggest that, if the frictional strength of Chelungpu were high, a much larger temperature perturbation would have been observed. The lack of a significant observed frictional heating signal implies that the frictional strength of Chelungpu is low. Our result show that a borehole drilled rapidly after a large earthquake holds the promise of unequivocally providing an in-situ measure of fault strength.

8. Conclusions

Our study of the frictional heating across fault zones allows the following conclusions to be made:

1. Numerical simulations for a range of realistic permeability, frictional heating, and pore pressure scenarios show that transient fluid flow associated with an earthquake is unlikely to significantly perturb the frictional heat signal within a few year of an earthquake unless the permeability is high (> ~ 10^{-14} m²).
2. Thermal perturbations resulting from the circulation of fluids during drilling diffuse much more rapidly than the frictional heating signal and do not present a large impediment to determining fault strength from borehole temperature profiles.

3. Repeated temperature profiles can aid in identifying and removing steady state and transient disturbances to the subsurface temperature field and provide a greater degree of confidence in identifying borehole temperature perturbations from frictional heating. Borehole design and attention to measuring techniques can improve the signal to noise ratio.

4. Accessing the heat anomaly quickly maximizes the likelihood of unambiguously detecting a frictional heat signal. The frictional heat anomaly diminishes with the square root of time while the relative disturbance from transient fluid flow, if any, increases. These results suggest that models of conductive heat transfer can be used to design boreholes where the objective is to measure the frictional heat generation of earthquakes. Drilling to 1 km depth within a year of an earthquake or 2 km depth within two years should allow unambiguous detection of thermal anomalies from frictional heating.
References


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Kano, Y., J. Mori, R. Fujio, H. Ito, T. Yanagidani, S. Nakao, and K.-F. Ma (2007), Precise Temperature Measurements and Earthquake Heat Associated with the


Figure 1. Frictional temperature anomalies in the absence of fluid circulation resulting from a thrust earthquake with 5 m of slip on a fault with 30° dip and assuming a thermal diffusivity of $10^{-6}$ m$^2$s$^{-1}$. The separate curves illustrate how a temperature anomaly from frictional heating evolves as a function of time and depth for both large and small coefficients of friction during slip assuming hydrostatic pore pressure. a) Temperature anomalies for a borehole intersecting the fault at a depth of 1 km. Red and blue lines correspond to friction coefficients of 0.6 and 0.1, respectively, assuming hydrostatic pore pressure. Solid and dashed lines show the frictional heating anomaly 1 and 2 years after the earthquake, respectively. The dashed vertical black line shows a conservative detection threshold of 0.2 °C. b) Temperature anomaly for a borehole intersecting the fault at a depth of 2 km.

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Figure 3. a) Thermal response to frictional heating and fluid flow for a thrust fault at 2 km and for friction coefficients during slip of 0.6 (red lines) or 0.1 (blue lines). The purely conductive results are shown as solid lines for comparison. The black horizontal dashed line at 0.2 °C reflects an ideal minimum target anomaly for detection. b) The temperature anomalies normalized to the conductive scenarios as a function of time. The lines in both panels correspond to the different fault zone architectures.

Figure 4. Temperature profiles from the SAFOD pilot hole measured immediately after the end of drilling (blue) and measured at later times. Temperatures measured after a period of 3 weeks (red) are already close to the equilibrium temperature (black). Modified from Williams et al. [2004].
Figure 5. Results of drilling disturbance model simulations.  a) Temperature profile far from borehole 13 months after simulated earthquake with low friction coefficient assuming hydrostatic pore pressure (red line) and prescribed borehole disturbance based on SAFOD pilot hole observations (blue line).  Temperatures due to the drilling disturbance are held constant for one month.  b) Temperature profiles 14 months after the earthquake; 1 month after the end of drilling and borehole circulation. Temperatures due to drilling disturbance held constant for 1 month (solid line) and 2 weeks (dashed line).  c) The difference between the simulated borehole anomaly and the anomaly without any drilling disturbance.  d) Temperature anomaly as a function of time after drilling in the borehole (blue) compared to profiles unaffected by drilling disturbance (red).

Figure 6: Cooling rates relative to pure diffusive heat transfer between years 1 and 2 for advective scenarios that include permeabilities of $10^{-14}$ m$^2$. Curves show areas where the model results from 2 years after an earthquake diffused faster or slower than expected by forward projecting (i.e. conductively cooling) the simulated borehole temperatures extracted for the same scenario 1 year after an earthquake. Cooling rate anomalies show where heat has been extracted (negative anomalies) or deposited (positive) by advection during the time between logs.

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<table>
<thead>
<tr>
<th>Symbol</th>
<th>Parameter</th>
<th>Units (dimensions)</th>
</tr>
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<tbody>
<tr>
<td>$c$</td>
<td>specific heat capacity</td>
<td>J kg$^{-1}$°C$^{-1}$ ($L^2 T^{-1} t^{-2}$)</td>
</tr>
<tr>
<td>$d$</td>
<td>fault displacement</td>
<td>m ($L$)</td>
</tr>
<tr>
<td>$g$</td>
<td>gravitational acceleration</td>
<td>m s$^{-2}$ ($L t^{-2}$)</td>
</tr>
<tr>
<td>$H$</td>
<td>average rate of frictional heat generation per unit area</td>
<td>W m$^{-2}$ ($Mt^{-3}$)</td>
</tr>
<tr>
<td>$P$</td>
<td>pore fluid pressure</td>
<td>Pa ($ML^{-1} t^{-2}$)</td>
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<tr>
<td>$T$</td>
<td>Temperature anomaly</td>
<td>°C ($T$)</td>
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<tr>
<td>$t$</td>
<td>time</td>
<td>s ($t$)</td>
</tr>
<tr>
<td>$v$</td>
<td>slip velocity</td>
<td>m s$^{-1}$ ($Lt^{-1}$)</td>
</tr>
<tr>
<td>$y$</td>
<td>distance from fault zone</td>
<td>m ($L$)</td>
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<tr>
<td>$z$</td>
<td>depth</td>
<td>m ($L$)</td>
</tr>
<tr>
<td>$\alpha$</td>
<td>thermal diffusivity</td>
<td>m$^2$s$^{-1}$ ($L^2 t^{-1}$)</td>
</tr>
<tr>
<td>$\lambda$</td>
<td>pore pressure ratio: $P/\sigma$</td>
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<tr>
<td>$\mu$</td>
<td>fault zone friction coefficient</td>
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<td>$\mu_c$</td>
<td>country rock friction coefficient</td>
<td>dimensionless</td>
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<td>bulk rock density</td>
<td>kg m$^{-3}$ ($ML^{-3}$)</td>
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<td>Pa ($ML^{-1} t^{-2}$)</td>
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<td>$\sigma_n'$</td>
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<tr>
<td>$\sigma_v$</td>
<td>total overburden stress: $\rho g z$</td>
<td>Pa ($ML^{-1} t^{-2}$)</td>
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Table 2. Parameter values used in simulations

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
<th>Reference</th>
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<tbody>
<tr>
<td>Porosity</td>
<td>0.10</td>
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<td>Thermal conductivity, fluid</td>
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<td>Specific heat capacity, fluid</td>
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<td>Voss, 1984</td>
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<tr>
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<td>840 W kg(^{-1}) K(^{-1})</td>
<td>Tanaka et al., 2007</td>
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<td>Density, matrix</td>
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<td></td>
</tr>
<tr>
<td>Density, fluid at 20 °C</td>
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<td>Coefficient of fluid density change</td>
<td>-0.375 kg m(^{-3}) K(^{-1})</td>
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<td>Bulk thermal diffusivity</td>
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<td>Voss, 1984</td>
</tr>
<tr>
<td>Compressibility, matrix</td>
<td>4 x 10(^{-10}) Pa(^{-1})</td>
<td>Voss, 1984; Neuzil, 1986;</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Ge and Garven, 1992</td>
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<tr>
<td>Compressibility, fluid</td>
<td>1 x 10(^{-9}) Pa(^{-1})</td>
<td>Voss, 1984</td>
</tr>
</tbody>
</table>
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